

Lecture Notes

CS259 - Formal Languages

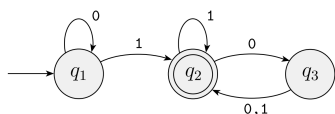
Intro

Sipser¹, Hopcroft² [1.5]

1. **Alphabet** Σ is finite non-empty set of **symbols/letters**, so the empty string isn't in it $\epsilon \notin \Sigma$.
2. **String/word** w is finite sequence of symbols chosen from some alphabet. **Empty** string ϵ doesn't contain symbols.
3. **Define** Σ^k to be set of strings of length k . E.g. $\Sigma = \{0, 1\}$: $\Sigma^0 = \{\epsilon\}$, $\Sigma^1 = \{0, 1\}$, $\Sigma^2 = \{00, 01, 10, 11\}$ etc.
Define $\Sigma^* = \Sigma^0 \cup \Sigma^1 \cup \dots$ to be a set of all strings over an alphabet Σ . Set of all **non-empty** strings $\Sigma^+ = \Sigma^* \setminus \{\epsilon\}$
4. **Length** of string w is denoted by $|w|$, importantly $|\epsilon| = 0$. **Substring** is a *consecutive* subsequence within a string. **Concatenation** of strings x, y is denoted by xy .
5. **Language** is some $L \subseteq \Sigma^*$. Decision problem is a function $w \in \Sigma^* \rightarrow \{\text{Yes}, \text{No}\}$. Not all languages have algorithms.
6. **Finite Automata/Machine (FA)** $\mathcal{A} = (Q, \Sigma, \delta, q_0, F)$ is quintuple with finite set of states Q , alphabet Σ , state transition function δ , initial state q_0 and set of all accepting states $F \subseteq Q$.

Deterministic Finite Automata DFA

1. **Deterministic Finite Automata/Machine (DFA)** is FA with single-choice transition function $\delta : Q \times \Sigma \rightarrow Q$



2. **Extended transition function** $\hat{\delta} : Q \times \Sigma^* \rightarrow Q$ says what happens if you start in any state q and follow any sequence of inputs given transition function δ . Define by induction on length of input str $w=xa$, $|\epsilon|=0$, $|xa| = |x| + |a|$:

Base Case: $\hat{\delta}(q, \epsilon) = q$. No inputs read, no state change.

I.S.: $\hat{\delta}(q, w) = \delta(\hat{\delta}(q, x), a)$ with $w = xa$, tail recursion.

3. **Language** $L(\mathcal{A}) = \{w \in \Sigma^* : \hat{\delta}(q_0, w) \in F\}$ of automaton \mathcal{A} is set of all strings w accepted by \mathcal{A} , or $q_{final} \in F$.
Regular language (RL) is one recognised by some FA.

4. Collection of objects in domain D is **closed** under an operation \square if $x_1, x_2 \in D \Rightarrow x_1 \square x_2 \in D$.

5. Let A, B be languages. Class of RL is closed under following for $A = (Q_A, \Sigma, q_A, F_A, \delta_A)$, $B = (Q_B, \Sigma, q_B, F_B, \delta_B)$:

- **Intersection** $A \cap B = \{x : x \in A \wedge x \in B\}$

$M' = (Q_A \times Q_B, \Sigma, (q_A, q_B), F_A \times F_B, \delta')$ where δ' is:

$$\forall a \in \Sigma, x \in Q_A, y \in Q_B : \delta'((x, y), a) = (\delta_A(x, a), \delta_B(y, a))$$

- **Union** $A \cup B = \{x : x \in A \vee x \in B\}$

$M' = (Q_A \times Q_B, \Sigma, (q_A, q_B), (F_A \times F_B) \cup (Q_A \times F_B), \delta')$

$$\forall a \in \Sigma, x \in Q_A, y \in Q_B : \delta'((x, y), a) = (\delta_A(x, a), \delta_B(y, a))$$

- **Complementation** $\bar{A} = \Sigma^* \setminus A$

$M' = (Q_A, \Sigma, q_A, Q_A \setminus F_A, \delta_A)$

- **Concatenation** $A \circ B = \{xy : x \in A \wedge y \in B\}$

$M' = (Q_A \cup Q_B, \Sigma \cup \{\epsilon\}, q_A, F_B, \delta')$ where δ' is:

$$\forall a \in \Sigma, x \in Q_A : \delta'(x, a) = \delta_A(x, a),$$

$$\forall a \in \Sigma, y \in Q_B : \delta'(y, a) = \delta_B(y, a),$$

$$\forall f \in F_A : \delta'(f, \epsilon) = \{q_B\}$$

- **Set Difference** $A \setminus B = A \cap (\Sigma^* \setminus B)$

$M' = (Q_A \times Q_B, \Sigma, (q_A, q_B), F_A \times (Q_B \setminus F_B), \delta')$ where δ' is:

$$\forall a \in \Sigma, x \in Q_A, y \in Q_B : \delta'((x, y), a) = (\delta_A(x, a), \delta_B(y, a))$$

- **Kleene Star** $A^* = \{x_1 x_2 \dots x_k : x_i \in A, k \geq 0\}$

$M' = (Q_A \cup \{q_s\}, \Sigma \cup \{\epsilon\}, q_s, F_A \cup \{q_s\}, \delta')$ where δ' is:

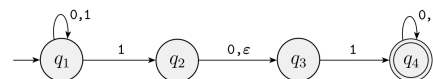
$$\forall a \in \Sigma, q \in Q_A : \delta'(q, a) = \delta_A(q, a),$$

$$\delta'(q_s, \epsilon) = \{q_A\},$$

$$\forall f \in F_A : \delta'(f, \epsilon) = \{q_A, q_s\}$$

Nondeterministic Finite Automata NFA

1. NFA's are more succinct than and can always be converted/compiled into DFA's - both accept same class of RL's.



2. $\forall w \in \Sigma$ a DFA has exactly 1 transition out of a state whereas NFA can have 0, 1 or multiple, hence the transition function $\delta : Q \times \Sigma_\epsilon \rightarrow 2^Q$ where $\Sigma_\epsilon = (\Sigma \cup \{\epsilon\})$.

3. **Extended transition function** $\hat{\delta} : Q \times \Sigma^* \rightarrow 2^Q$ also defined by induction on length of input string $w = xa$:

Base Case: $\hat{\delta}(q, \epsilon) = q$. No inputs read, no state change.

I.S.: $\hat{\delta}(q, w) = \cup_{i=1}^k \delta(p_i, a)$, where $\hat{\delta}(q, x) = \{p_1, \dots, p_k\}$

Informally, find $\hat{\delta}(q, w)$ by first computing left part $\delta(q, x)$, and for each resulting state p_i , finding $\hat{\delta}(p_i, a)$ where a is last symbol of w .

¹Introduction to the Theory of Computation, 3rd ed.

²Hopcroft Motwani Ullman 2014

4. **Language** of NFA $L(\mathcal{A}) = \{w : \hat{\delta}(q_0, w) \cap F \neq \emptyset\}$
 NFA $\mathcal{A} = (Q, \Sigma, \delta, q_0, F)$ accepts str $w = w_1 w_2 \dots w_m \in \Sigma$ if it's possible to make any sequence of choices of next state $q_0, q_1, \dots, q_m \in Q$ while reading chars $w_i \in w$, where $q_{i+1} \in \delta(q_i, w_{i+1})$ and go from start state q_0 to any accepting state $q_m \in F$. \exists **accepting run** on word w .
5. **Theorem:** Every NFA has an equivalent DFA.
 +: Language regular iff recognised by some NFA.
 +: DFA \mathcal{D} constructed from NFA $\mathcal{N} \Rightarrow L(\mathcal{D}) = L(\mathcal{N})$

$$\text{NFA } \mathcal{N} = (Q, \Sigma, \delta, q_0, F) \rightarrow \text{DFA } \mathcal{D} = (2^Q, \Sigma, \delta', \{q_0\}, F')$$
 where $\delta'(R, a) = \bigcup_{r \in R} \delta(r, a)$ for set $R \subseteq Q$ of original states, $F' = \{R \subseteq 2^Q : R \cap F \neq \emptyset\}$ contains accept state.

Epsilon-Closure (EClose/ ϵ -Close)

1. **Epsilon-transition** $\delta(q, \epsilon)$ denotes empty-string transition yielding unconditionally reachable states. Useful for proving equivalence of RL classes.
2. **ϵ -NFA** $\mathcal{A} = (Q, \Sigma, \delta, q_0, F)$ but with $\delta(q, w_i \in \Sigma \cup \{\epsilon\})$ which can accept any character including an empty string.
3. Epsilon-Closure **EClose**(q): $Q \rightarrow 2^Q$ recursively defines all states reachable from q with ϵ -transitions alone:
Base Case: state $q \in \text{EClose}(q)$ (stays itself)
I.S.: if state $p \in \text{EClose}(q)$ and \exists transition $\delta(p, \epsilon) = R$ of all reachable states r_i then $\forall r_i \in R : r_i \in \text{EClose}(q)$.
4. **ϵ -NFA extended transition func.** $\hat{\delta}(q, w) : Q \times \Sigma^* \rightarrow 2^Q$ produces all states $R \subseteq Q$ to which \exists a **run** from state q upon reading **string** $w = xa$ with nonempty last char $a \in \Sigma \neq \epsilon$.
Base Base: $\forall q \in Q : \hat{\delta}(q, \epsilon) = \text{EClose}(q)$ (by definition).
I.H.: let $\hat{\delta}(q, x) = P$ of states reachable from q by following sequence x and $\bigcup_{i=1}^k \hat{\delta}(p_i \in P, a) = R$ of states reachable from previous step following final non-empty input a . Finally, define $\hat{\delta}(q, w) = \bigcup_{j=1}^m \text{EClose}(r_j \in R)$.

5. **Theorem:** Every ϵ -NFA has an equivalent DFA. $Q' \subseteq Q$
 ϵ -NFA $\mathcal{E} = (Q, \Sigma, \delta, q_0, F) \rightarrow \text{DFA } \mathcal{D} = (Q', \Sigma, \delta, q_0, F')$.
6. **Theorem:** For every ϵ -free NFA $N = (Q, \sigma, q_0, F, \delta)$: \exists DFA $D = (2^Q, \Sigma, \text{EClose}(q_0), F_D, \delta_D)$ s.t. $L(N) = L(D)$.
Proof: Given $S_D : 2^Q \times \Sigma \rightarrow 2^Q$ take $a \in \Sigma$, $S \in 2^Q$ ($S \subseteq Q$), suppose $S = \{s_1, \dots, s_m\}$, then $\delta_D(S, a) = \bigcup_{i=1}^m \delta(s_i, a)$. Now, $F_D = \{A \subseteq Q : A \cap F \neq \emptyset\}$.

Regular Expressions (Regex)

1. Regular expression $R \in \{a \in \Sigma, \epsilon, \emptyset, R_1 + R_2, R_1 \circ R_2, R_1^*\}$.
 Order of operations: 1. Kleene $*$ 2. Concat \circ 3. Union $+$.
 E.g. "all languages with second to last character being 1"
 is $(0 + 1)^* \circ 1 \circ (0 + 1)$.

2. **Remember:** ϵ represents a language containing only the empty string, \emptyset represents the language that doesn't contain any strings. Empty word ϵ is **something**, empty state \emptyset is **nothing**, hence $L(R \circ \epsilon) = L(R)$, but $L(R \circ \emptyset) = \emptyset$.
3. **Remember:** Languages L contain strings, alphabets Σ contain symbols, so $L^1 \neq \Sigma^1$ but $(L^1)^*$ and $(\Sigma^1)^*$ denote the same language. Remember: $L(\emptyset^*) = \{\epsilon\}$
4. **Kleene star/plus** $()^{*/+}$ creates any number k of concatenated ordered values $A^* = \{x_1, x_2, \dots, x_k : k \geq 0/1, \forall x_1 \in A\}$ or infinite union $A^* = A^0 \cup A^1 \cup \dots \cup A^k$. But finite $\emptyset^* = \{\epsilon\}$.
5. **Token** is elemental object of programming language (keywords: if, else ..; vars; integers etc.) E.g. Integers : $(\text{"+"} \text{"+"} \text{"+"} \text{"-"} \text{"+"} \epsilon) \circ (1 + 2 + \dots + 9) \circ (0 + 1 + \dots + 9)^*$.
6. **Theorem:** Language is regular iff some regular expression describes (generates) it. $\text{NFA} \equiv \text{DFA} \equiv \text{Regex}$.
7. **Regex \rightarrow NFA:** node \circ , accepting \bullet
 - $\bullet L(R) = \{a\}$ if $R = a \in \Sigma \rightarrow \circ \xrightarrow{a} \bullet$
 - $\bullet L(R) = \{\epsilon\}$ if $R = \epsilon \rightarrow \bullet$
 - $\bullet L(R) = \emptyset$ if $R = \emptyset \rightarrow \circ$
 - $\bullet L(R) = L(R_1) \cup L(R_2)$ if $R = R_1 + R_2 \rightarrow \circ \xrightarrow{\epsilon} N_1, N_2$
 - $\bullet L(R) = L(R_1) \circ L(R_2)$ if $R = R_1 \circ R_2 \quad N_1 \xrightarrow{\epsilon} N_2$
 - $\bullet L(R) = (L(R_1))^*$ if $R_1^* \quad \bullet \xrightarrow{\epsilon} N_1 \xleftarrow{\epsilon} \bullet_1, \bullet_2 \dots$
8. Convert **NFA/DFA \rightarrow Regex:** (see GNFA)
 1. add $q_{\text{start}}, q_{\text{final}}$ without changing automaton
 2. eliminate non-final and non-start states
 3. eliminate q_{final}

Generalised NFA (GNFA)

1. **GNFA** $(Q, \Sigma, \delta, q_{\text{start}}, q_{\text{final}})$ is an NFA where each transition may have any Regex $r_i \in \mathcal{R}$ as label instead of just Σ_ϵ members. Transition $\delta : (Q \setminus \{q_{\text{final}}\}) \times (Q \setminus \{q_{\text{start}}\}) \rightarrow \mathcal{R}$ where \mathcal{R} is set of all Regex over the alphabet.
2. GNFA has unique q_{final} state (**sink**): \nexists transitions to any other state, q_{start} state (**source**): no transitions to start state. Otherwise \exists pairwise transition between **all** states.
3. Convert **DFA \rightarrow GNFA:** $q'_{\text{start}} \xrightarrow{\epsilon} q_{\text{start}} \rightarrow \dots$
 1. add new q'_{start} with ϵ -transition to q_{start} .
 2. add new q'_{final} with ϵ -transition reachable from q_{final} .
 3. replace pairwise multi-transitions with single transition
 4. Add \emptyset transit. between pairs requiring but missing one
4. Convert **GNFA \rightarrow Regex:** Assume GNFA has $k \geq$ states since have unique $q_{\text{start}}, q_{\text{final}}$. Determine regex and replace with current transition:
 If $k > 2$: construct equivalent GNFA with $k - 1$ states removing a non-edge state, repeat until $k = 2$ resulting in Regex R equivalent to original DFA.

- To remove non-edge state, remove path $p_1 \rightarrow q \rightarrow p_2$ s.t. $\forall (q_\alpha, q_\beta) \in (Q \setminus \{q_{\text{final}}, q_1\}) \times (Q \setminus \{q_{\text{start}}, q_1\})$, and have new: $\delta'(q_\alpha, q_\beta) = \delta(q_\alpha, q_\beta) + \delta(q_\alpha, q_1) \cdot \delta(q_1, q_1)^* \cdot \delta(q_1, q_\beta)$
- GNFA accepts string $w \in \Sigma^*$ if $w = w_1 \cdot w_2 \cdots w_k$ where $\forall w_i \in \Sigma^*$ and a sequence of states q_0, \dots, q_k exists s.t. $q_0 = q_{\text{start}}, q_k = q_{\text{final}}$ and for each $i : w_i \in L(R_i)$ where $R_i = \delta(q_{i-1}, q_i)$.

- Claim:** For any GNFA G the RegEx produced by above method is equivalent to G .

Proof: by induction on k states in G . Base case: $k = 2$, I.H.: assume true for $k - 1$ that G on k states accepts w , then \exists sequence $q_{\text{start}}, q_1, \dots, q_{\text{final}}$ that w uses. If removed state q is not part of it then new $k - 1$ automaton accepts the same word. If q appears as $p_1 q q \dots p_2$ then removing q s and considering new $k - 1$ automaton doesn't change word being accepted since $p_1 \rightarrow p_2$ now encapsulates q .

Non-Regularity & Myhill-Nerode

- Strings $x, y \in \Sigma^*$ are **distinguishable** by language $L \in \Sigma^*$ if $\exists w \in \Sigma^*$ s.t. there's only one of xw or yw in L .
- Lemma: Indistinguishability** \equiv_L is an equivalence relation on Σ^* . Strings x, y indistinguishable by L if $x \equiv_L y$.
- Index** of \equiv_L is the number of its equivalence classes.
- Myhill-Nerode Theorem:** Let $L \subseteq \Sigma^*, k \in \mathbb{Z}^+$, then \equiv_L has at most k equivalence classes iff $L = L(\mathcal{A})$ for some DFA \mathcal{A} with at most k states.

Corollary: $L \subseteq \Sigma^*$ is regular iff \equiv_L has **finite index**, otherwise L is a **non-regular language**.

- All strings $a^n, n \in \mathbb{Z}$ are distinguishable because $a^i b^i \in L$ but $a^j b^i \in L$ iff $i = j$, so it doesn't always hold.

Proof: given L , DFA $\mathcal{A} = (Q, \Sigma, q_0, F, \delta)$, $Q = \{q_1, \dots, q_n\}$. For $x, y \in \Sigma^* : x \sim y$ if $\hat{\delta}(q_0, x) = \hat{\delta}(q_0, y)$ (arrive at the same state from both). So, $\sim_{\mathcal{A}}$ is equiv relation on Σ^* , hence $x \sim_{\mathcal{A}} y \Rightarrow x \equiv_L y$.

- To prove that language L is **non-regular** need to
 - (1) provide infinite set of strings and
 - (2) prove that they are pairwise distinguishable by L .
 This will show that they must all lie in distinct equiv classes of \equiv_L , so \equiv_L must have an infinite number of equivalence classes, so it's non-regular.
- To prove that language L is **regular**, it suffices to
 - (1) describe the equivalence relation \equiv_L on Σ^* , and
 - (2) show that there are finitely many equivalence classes.
 Then, by the Myhill-Nerode theorem, L is regular.
Or just construct DFA/NFA/Regex accepting L .

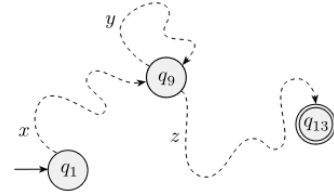
Non-Regularity & Pumping Lemma

- Pigeonhole principle:** if n pigeons are placed into $m \leq n$ holes, then some hole has to have more than 1 pigeon in it.
- For DFA $\mathcal{A} : \exists$ a **cycle** reachable from the start state q_{start} that can reach the accept state q_{final} iff $L(\mathcal{A})$ is infinite.

Equivalently, there must exist a string $w \in L(\mathcal{A})$ of length $|w| > |Q|$ ($\#$ states in \mathcal{A}) to create that loop.

- Pumping Lemma:** Let L be a regular language. Then \exists **pumping length** $p \in \mathbb{Z}^+$ s.t. to account for the loop, any string $w \in L$ with $|w| \geq p$ can be **pumped** (rewritten) as $w = xyz$, where

- $\forall i \geq 0 : xy^i z \in L(\mathcal{A})$: middle part y can be repeated any number of times i and remain in the language of \mathcal{A} .
- $|y| > 0, y \neq \epsilon$: middle part cannot be empty, however either x or z may be empty (ϵ).
- $|xy| \leq p$.



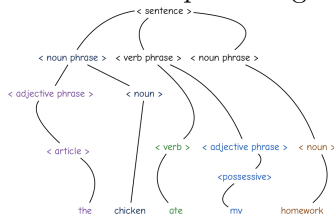
- FAs have **finite memory**, so non-regular languages use infinite memory and often involve **counting**.
- Pumping Lemma proof** that L is non-regular:
 - Suppose L is regular and let p be L 's pumping length.
 - Choose a string $w \in L$ s.t. $|w| \geq p$.
 - Let $w = xyz$ be an arbitrary decomposition of w s.t. $|xy| \leq p$ and $|y| > 0$.
 - Find such decomposition, e.g. $x = 0^\alpha, y = 0^\beta, z = 0^\gamma 1^p$ where $\alpha + \beta + \gamma = p, \beta > 0$ and $\alpha + \beta \leq p$
 - Pick integer i and argue that $xy^i z \notin L$. \square
- Myhill-Nerode proof** that L is non-regular:
 - Suppose, for contradiction, that L is regular.
 - Consider distinguishing set $S = \{s_n : n \in \mathbb{N}\}$ over Σ^* . I.e. words to be told apart. E.g. $S = \{a^n | n \geq 1\}$.
 - For any two distinct $s_m, s_n \in S$ with $m \neq n$, find a distinguishing string z such that *exactly one* of $s_m z$ or $s_n z$ is in L . E.g. $z = \{b^n\}$ s.t. $a^n b^n \in L$ but $a^m b^n \notin L$.
 - Conclude that S is an infinite set of pairwise distinguishable strings, so there are infinitely many equivalence classes of \equiv_L .
 - By the Myhill-Nerode theorem, L cannot be regular.
- Language L satisfying the Pumping Lemma is not necessarily regular!

Decision Problems

1. **Emptiness:** *In:* DFA A . *Out:* whether $L(A) = \emptyset$.
How: Perform BFS from the start state q_0 to see if any accepting state is reachable.
2. **Inclusion:** *In:* DFA A_1, A_2 . *Out:* if $L(A_1) \subseteq L(A_2)$.
How: Check if $L(A_1) \cap L(\overline{A_2}) = \emptyset$.
3. **Membership:** *In:* DFA A , alphabet Σ , word $w \in \Sigma^*$.
Out: whether $(w \in \Sigma^*) \in L(A)$.
How: Simulate A on w (complexity $O(|E| \cdot |w|)$, where $|E|$ is the number of states/transitions).

Context-free languages (CFL)

1. **Production or Rule** $\alpha \rightarrow \beta$ is a pair $(\alpha, \beta) \in R$, or line in the grammar that defines transformations for variables. Both $\alpha \neq \epsilon, \beta \in (V \cup \Sigma)^*$ therefore set of productions $R \subseteq (V \cup \Sigma)^+ \times (V \cup \Sigma)^*$ must be finite, $(+)$ because $\alpha \neq \epsilon$
2. **Grammar** $G = (V, \Sigma, R, S)$ with finite mutually-exclusive sets V of variables (nonterminal symbols) and Σ of terminal symbols. R is the finite set of production rules, $S \in V$ is the START variable ("axiom").
3. **Context-Free Grammar (CFG):** for every production the start point is within original V , or $\forall (\alpha, \beta) \in R : \alpha \in V$
4. For $x, y \in \Sigma^*$, write " x yields y ": $\alpha \Rightarrow \beta$ if α can be rewritten as β by applying a production rule $(\alpha, \beta) \in R$.
e.g. $G = (\{S\}, \{0, 1\}, R, S)$ rules $R : S \rightarrow 0S1$; and $S \rightarrow \epsilon$, giving $S \Rightarrow 0S1 \Rightarrow 00S11 \Rightarrow 0011$
5. " x derives y ": $x \xRightarrow{*} y$ iff \exists finite sequence $x_0, x_1, \dots, x_k, k \geq 0$ s.t. $x_0 = x, x_k = y$ and $\forall i = 0, 1, \dots, k-1 : x_i \Rightarrow x_{i+1}$, or if y is derivable from x by some sequence of productions. $\xRightarrow{*}$ is also a reflexive and transitive closure of \Rightarrow .
6. **Language of a grammar** $L(G) = \{w \in \Sigma^* : S \xRightarrow{*} w\}$ is the set of all strings in Σ^* which can be derived from S using finitely many applications of production rules in G .
7. **Parse Tree** is like DFS tree of possible grammars' values



Left-most derivation: yields the "left-most" non-terminating variable at each step. E.g. 1A10B would have to expand (yield) non-terminal A first.

8. **Ambiguous** grammar G iff there are ≥ 2 parse trees for some $w \in L(G) \Leftrightarrow$ there are ≥ 2 leftmost derivations for some $w \in L(G)$. I.e. can generate the same string with multiple parse trees. **Inherently ambiguous** G if every possible CFG that generates this language is ambiguous. I.e. can't rewrite as an equivalent unambiguous grammar.

9. Chomsky Hierarchy of Grammars:

Type 3: Regular **Right linear** $A \rightarrow xB$ and **Left linear** $A \rightarrow Bx$, and possibly terminal $A \rightarrow x$.

Type 2: Context-free $Q \rightarrow w$

Type 1: Context-sensitive $\alpha A \gamma \rightarrow \alpha \beta \gamma$

Type 0: Recursively-enumerable $a \rightarrow \beta$ if α non-empty.
For variables $A, B \in V$, combinations of V -variables and Σ -characters $\alpha, \beta, \gamma, w \in (V \cup \Sigma)^*$; terminal string $x \in \Sigma^*$.

10. **Strictly Right/Left-linear** grammar has $y \in \Sigma \cup \{\epsilon\}$, NOT Σ^* . Have $T \rightarrow yB/By/y$.

11. **DFA \rightarrow CFG:** convert DFA \mathcal{A} into equivalent CFG:

1. Make variable R_i for each state $q_i \in \mathcal{A}$ with start variable R_0 of the grammar representing q_0 start state of \mathcal{A} .
2. Add rule $R_i \rightarrow aR_j$ to the CFG if $\delta(q_i, a) = q_j$ is a transition in \mathcal{A} (express transition rules as productions).
3. Add rule $R_i \rightarrow \epsilon$ if q_i is an accept state of the DFA \mathcal{A} .

DFA \rightarrow strictly right-linear grammar: for each state q :
all strings that will take me from q to a final state

DFA \rightarrow strictly left-linear grammar: for each state q :
all strings that will take me to q from start state

Push-Down Automata (PDA)

1. **Push-Down Automaton (PDA)** $\mathcal{P} = (Q, \Sigma, \Gamma, q_0, F, \delta)$ has a stack Γ that is like a "to-do list" of the automaton. Importantly, it is **nondeterministic** (NFA with a stack).
2. **Configuration** of a PDA $A = (Q, \Sigma, \Gamma, q_0, F, \delta)$ is a pair $(q, s) \in Q \times \Gamma^*$, where q is the current state and s is current stack content (with the top of the stack at the left).
3. Transition function $\delta : Q \times \Sigma_\epsilon \times \Gamma_\epsilon \rightarrow \mathcal{P}(Q \times \Gamma^*)$. The pair $(q', \gamma') \in \delta(q, a, \gamma)$ means a $a, \gamma \rightarrow \gamma'$ transition of:
 1. start in state $q \in Q$.
 2. consume next input symbol (condition) $a \in \Sigma_\epsilon$
 3. pop $\gamma \in \Gamma_\epsilon$ from the top of the stack
 4. push a string $\gamma' \in \Gamma^*$ on top of the stack
 5. end up in state $q' \in Q$.
4. A **run** of PDA $A = (Q, \Sigma, \Gamma, q_0, F, \delta)$ on $w \in \Sigma^*$ is a sequence of configurations $(q_0, s_0), \dots, (q_m, s_m)$ where $(q_i, s_i) \in Q \times \Gamma^*$ for which there exist $w_1, \dots, w_m \in \Sigma_\epsilon$ s.t. $w_1, \dots, w_m = w$ and moreover $s_0 = \epsilon$; and for $i = 1, \dots, m$, it holds that $s_{i-1} = \gamma s', s_i \gamma' s'$ for $s' \in \Gamma^*$.

6. Family of CFL is closed under Union \cup , Kleene $*$, and concatenation, but NOT intersection \cap or complement.

Union: $L_1 = L(G_1) \cup L_2 = L(G_2)$. Assume $V_1 \cap V_2 = \emptyset$, where V_i is set of variables in G_i . Take fresh $S \notin V_1 \cup V_2 \cup \Sigma$. Set up G with S_i on start nonterminal of G_i :

$$G = (V_1 \cup V_2 \cup \{S\}, \Sigma, R_1 \cup R_2 \cup \{S \rightarrow S_1\} \cup \{S \rightarrow S_2\}, S)$$

Not closed under **Intersection:**

$$\left. \begin{array}{l} L_1 = \{a^i b^i c^k : i = j\} \\ L_2 = \{a^i b^i c^k : j = k\} \end{array} \right\} \text{CFLs}$$

$$L_1 \cap L_2 = \{a^n b^n c^n : n \geq 0\} \text{ not a CFL!}$$

$$L : S \rightarrow AB, A \rightarrow aAb | \epsilon, B \rightarrow cB | \epsilon \text{ not a CFL}$$

7. **Theorem:** \exists CFL whose complement is not a CFL.

Proof: otherwise $(\overline{L_1} \cup \overline{L_2}) \rightarrow L_1 \cap L_2$ is regular.

8. **Theorem:** if $R \subseteq \Sigma^*$ is regular and $L \subseteq \Sigma^*$ is CFL, then $L \cap R$ is CFL.

Proof:

Let P be a PDA: $L(P) = L$.

Let D be a DFA: $L(D) = R$.

Construct product automaton A (also a PDA):

- state set $Q_P \times Q_D$
- initial state (i_P, i_D)
- final states $F_P \times F_D$
- stack alphabet Γ_P
- transitions:

$(q', \gamma') \in \delta_P(q, a, \gamma)$ where $a \neq \epsilon$, and $\delta_D(r, a) = r'$.

Now have: $((q', r')\gamma') \in \delta_A((q, r), a, \gamma)$ for all states r of D
Basically run PDA and DFA in tandem.

9. **Theorem:** Every unary CFL $L \subseteq \{a\}^*$ is regular.

10. **Commutative image** counts instances of all elements of alphabet in an input string.

11. **Theorem:** For every CFL $L \subseteq \Sigma^*$, there is a regular $R \subseteq \Sigma^*$ with commutative image $\Psi(L) = \Psi(R)$.

12. **Theorem:** If CFG G contains **no** strings of length longer than the pumping length p , then the language is finite.

If G contains **even one** string of length longer than p , then the language is infinite.

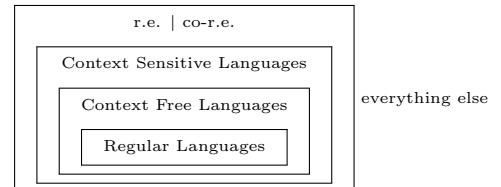
13. **Theorem:** If CFG G contains **even one** string of length longer than pumping length p , then it also contains a string of length at most $2p - 1$

14.

Intersection	Reg.	CFL	Decidable	r.e.
Reg.	Reg			
CFL	CFL	Dec		
Decidable	Dec	Dec	Dec	
r.e.	r.e.	r.e.	r.e.	r.e.

Turing Machines

1. **Turing machine** $M = (Q, \Sigma, \Gamma, \delta, q_0, q_{\text{accept}}, q_{\text{reject}})$ with:
 1. Q : finite set of states,
 2. Σ : finite inp alphabet without blank \sqcup or start \vdash ,
 3. Γ is tape alphabet, with $\sqcup, \vdash \in \Gamma$ and $\Sigma \subseteq \Gamma$,
 4. $\delta : Q \times \Gamma \rightarrow Q \times \Gamma \times \{L, R\}$: rewrite, move left/right,
 5. $q_0 \in Q$ is the start state,
 6. q_{accept} is the accept state,
 7. $q_{\text{reject}} \neq q_{\text{accept}}$ is the reject state;
 Rewrite first, then move. Immediately halt upon entering $q_{\text{acc}}, q_{\text{rej}}$. Have start symbol $\vdash \in \Gamma, \vdash \notin \Sigma$.
2. **Configuration** is a snapshot of what the TM looks like at any point (state, tape contents, reading head position):
 $X = (u, q, v) \subseteq \Gamma^* \times Q \times \Gamma^*$: tape followed by states followed by tape again ($\vdash, 0, q, 11, \sqcup$).
3. **Start configuration:** $(\vdash, q_0, w \in \Sigma^*)$.
 Accepting configuration : $(u, q_{\text{accept}}, v)$.
 Rejecting configuration : $(u, q_{\text{reject}}, v)$. } **Halting**
4. Configuration (s_1, q_1, t_1) **yields** (s_1, q_2, t_2) if:
 1. (s_1, q_1, t_1) is not halting, so can proceed,
 2. if $t_1 \neq \epsilon$ and $t_1 \in a\Gamma^*$ where $a \in \Gamma$, either:
 - $\delta(q_1, a) = (q_2, b, R)$ and $s_2 = s_1b$ and $t_1 = at_2$; or
 - $\delta(q_1, a) = (q_2, b, L)$ and:
 - » assuming $s_1 \neq \epsilon$ have: $s_1 = s_2c$ for some $c \in \Gamma$, and $t_2 = cbt'$ where $t_1 = at'$;
 - » assuming $s_1 = \epsilon$, have $s_2 = \epsilon$, $t_2 = bt'$, $t_1 = at'$ for some $t' \in \Gamma^*$
 3. if $t_2 = \epsilon$, and either:
 - $\delta(q_1, \sqcup) = (q_2, b, L)$ and $s_1 = s_2c$ for some $c \in \Gamma$, $t_2 = cb$
 - $\delta(q_1, \sqcup) = (q_2, b, R)$ and $s_2 = s_1, b$ and $t_2 = \epsilon$.
 E.g. $abq_i cd$ yields $abc'q_j d$ if $\delta(q_i, c) = (q_j, c', R)$
5. A **run** of TM M on input $w \in \Sigma^*$ is a finite sequence of configurations c_0, c_1, \dots, c_n s.t.
 1. c_0 is the start config of M on w ;
 2. for each $i = 1, \dots, n$: c_{i-1} yields c_i .
6. **Accepting/Rejecting run** if it ends in acc/rej config.
7. TM M **accepts/rejects** input $w \in \Sigma^*$ if \exists acc/rej run of M on w . M **halts** on $w \in \Sigma^*$ if it accepts or rejects w .
8. Language **recognised** by TM M is
 $L(M) = \{w \in \Sigma^* \mid M \text{ accepts } w\}$
9. TM M is a **decider** if it rejects all strings from $\Sigma^* \setminus L(M)$.
 M is said to **decide** the language $L(M)$.

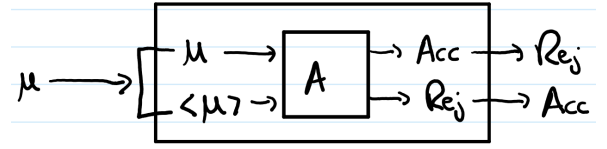


Variants of TMs, Decision Problems

1. **Stay Put TM**: $\delta : Q \times \Gamma \rightarrow Q \times \Gamma \times \{L, R, S\}$ where S is "do nothing", or "stay put".
2. **Bi-infinite TM**: tape has infinite \sqcup to both sides of the input; but can split in half, creating two-row normal TM, each column sharing a reading head unless storing last position reference per reading head somewhere, so not more expressive power. $\delta : Q \times \Gamma^2 \rightarrow Q \times \Gamma^2 \times \{L, R\}^2$
3. **Multi-tape TM** has n tapes, each with a different reading head: $\delta : Q \times \Gamma^n \rightarrow Q \times \Gamma^n \times \{L, R\}^n$.
4. $L \subseteq \Sigma^*$ is **Turing-recognisable** or **recursively enumerable (r.e.)** if there is a TM $M : L(M) = L$.
5. $L \subseteq \Sigma^*$ is **Turing-decidable** or **recursive** if there is a decider $D : L(D) = L$ (never halts).
6. For any object O (e.g. TM, PDA, NFA, DFA, etc): write $\langle O \rangle$ for the **encoding** of O as string over appropriate Σ . Also, $\langle O, w \rangle \in \Sigma_0^*$: single string encoding of O and $w \in \Sigma$ pair over alphabet Σ (basically a file).
7. **Acceptance Decision Problem for PDA**:
 - **input**: PDA \mathcal{A} , input string $w \in \Sigma^*$.
 - **output**: does \mathcal{A} accept w ? $\mathcal{A}_{\text{PDA}} = \{\langle \mathcal{A}, w \rangle : \mathcal{A} \text{ is a PDA, } \mathcal{A} \text{ accepts } w\}$.
 \mathcal{A}_{PDA} is decidable
8. **Acceptance Decision Problem for TM**:
 $\mathcal{A}_{\text{TM}} = \{\langle M, w \rangle : M \text{ is a TM that accepts } w\}$
Theorem: \mathcal{A}_{TM} is undecidable.
Proof by contradiction:
 Assume FTSOC \mathcal{A} is a decider for \mathcal{A}_{TM} . Then on input $\langle M, w \rangle$:
 - \mathcal{A} accepts if M accepts w , \mathcal{A} rejects if M doesn't accept w .
 Let D be a new TM, which on input $\langle M \rangle$ (where M is a TM), simulates \mathcal{A} on $\langle M, \langle M \rangle \rangle$ (i.e. $w = \langle M \rangle$),
 - if \mathcal{A} accepts (M accepts $\langle M \rangle$), D rejects,
 - but if \mathcal{A} rejects (if M rejects $\langle M \rangle$), D accepts.
 If D accepts $\langle D \rangle$, it rejects $\langle D \rangle$. If D rejects $\langle D \rangle$, it accepts $\langle D \rangle$

Decidability

1. **Theorem** \mathcal{A}_{TM} is recursively enumerable & undecidable.
Proof: take TM U (**interpreter**) which on input $\langle M, w \rangle$:
 1. Simulates execution of code M on input w step by step.
 2. if M accepts/rejects so does U . \square
2. If \mathcal{A} is a decider for \mathcal{A}_{TM} then \mathcal{A} takes as input code M and input w and either returns accept or rejects. Use this as a black box to build decider \mathcal{D} , that accepts M then inside feeds machine M and description of machine $\langle M \rangle$.



3. **Theorem** Class of decidable languages is closed under union, intersection, complement and kleene star.

$$1) w \rightarrow \boxed{M} \begin{matrix} \rightarrow \text{accept} \\ \rightarrow \text{reject} \end{matrix} \quad 2) w \rightarrow \boxed{M} \begin{matrix} \rightarrow \text{accept} \\ \rightarrow \text{reject} \end{matrix} \rightarrow \begin{matrix} \text{rej} \\ \text{acc} \end{matrix}$$

Proof: suppose L is decidable, let 1) TM M be a decider for L , $L = L(M)$; and 2) TM M' be its complement.

- **Intersection** - accept if both (1,2) accept.
- **Union** - accept if any of the two (1 or 2) deciders accept.
- **Kleene star**: there is a decider for L^* where:
 1. Given input $w \in \Sigma^*$, consider all partitions of w into substrings. For each part, run M .
 2. If for some partitioning M accepts every part, then accept, otherwise reject.

4. Suppose Σ, Δ are finite alphabets. Function $f : \Sigma^* \rightarrow \Delta^*$ is **Turing Computable** if \exists decider that $\forall w \in \Sigma^*$ halts leaving $f(w)$ on the tape.

5. **Church-Turing thesis**: anything that can be described algorithmically has a TM.

6. **Theorem**: Let L be language over alphabet Σ^* . L is decidable iff both L and complement $\Sigma^* \setminus L$ are r.e.

Proof: (\Rightarrow): Suppose $L = L(D)$, D is decider, since D is a TM, then L is r.e. To recognise $L = \Sigma^* \setminus L$ run D and flip the answer.

(\Leftarrow): let M_1, M_2 be TMs for L and \bar{L} respectively. Given input $w \in \Sigma^*$, run M_1 on w and, in parallel, run M_2 on w , then one of M_1, M_2 must halt since if $w \in L$ then M_1 eventually accepts so accept, if not - then M_2 does, so reject. Hence this is a decider for L .

Halting

1. L decidable $\equiv L, \bar{L}$ are turing-recognisable.
2. **Corollary**: $\overline{\mathcal{A}_{\text{TM}}} = \{\langle M, w \rangle : M \text{ is a TM, string } w \text{ and } M \text{ does not accept } w\}$ is not r.e. (infinite loops aren't turing recognisable).
3. **Co-recursively enumerable (co-r.e.)**: languages whos complement is r.e.
4. **Intersection** of r.e. and co-r.e. is decidable.
5. There are countably many TMs, but uncountably many languages $L \subseteq \{a, b\}^*$, so not all languages are decidable.
6. **Halting problem** $\text{HALT}_{\text{TM}} = \{\langle M, w \rangle : M \text{ is a TM, } w \text{ is a string and } M \text{ halts on input } w\}$.

7. Suppose A, B are languages where $A \subseteq \Sigma^*, B \subseteq \Delta^*$. Then Δ is **reducible** to B if there is a computable function $f : \Sigma^* \rightarrow \Delta^*$ s.t. $\forall w \in \Sigma^* : w \in A$ iff $f(w) \in B$.

8. Write $A \ll_m B$, f is a **many-one** reduction (1 call).

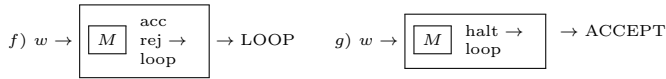
9. **Lemma:** if $A \leq_m B$ and B decidable then A is decidable.

Proof: Let f be a reduction from A to B , so R is the TM that on input $w \in \Sigma^*$ produces $f(w)$.

Let M be a decider for B . Use R to decide if $f(w)$ is in B , then accept if so, reject otherwise. \square

10. $A_{TM} \leq_m \text{HALT}_{TM} \leq_m A_{TM}$, and A_{TM} is undecidable implies that **HALT_{TM} is undecidable**.

Proof: for $A_{TM} \leq_m^f \text{HALT}_{TM} \leq_m^g A_{TM}$:



(\Rightarrow) On input $\langle M, w \rangle$, f produces $\langle M', w \rangle$.

Now, M' : on input w run M on w , if it accepts then accept, if rejects then loop forever.

(\Leftarrow) On input $\langle M, w \rangle$, g produces $\langle M', w \rangle$.

Now, M' : on input w , run M on w ; if it accepts or rejects, then accept.

11. Given a TM M , **all of 1, 2, 3 are undecidable for TM**
Does M halt on **1.** ϵ ? **2.** $L(M) \neq \emptyset$? **3.** Is $L(M) = \Sigma^*$?

Proof for $L_\epsilon = \{\langle M \rangle : M \text{ is a TM and } T \text{ halts on } \epsilon\}$ by reduction $A_{TM} \leq_m \text{HALT}_\epsilon$

- To solve acceptance problem on input $\langle M, w \rangle$: run M on w : if M accepts, accept, if M rejects - loop forever.

- Computable f (reduction $A_{TM} \leq_m^f$) on input $\langle M, w \rangle$, where M is a TM and w is a string: Construct TM $N_{M,w}$, which given input string x **ignores** x and runs M on w - accepting if M accepts and loops forever if M rejects.

- The output of reduction $f(\langle M, w \rangle) = \langle N_{M,w} \rangle$.

- For all $s \in \Sigma^* : s \in A$ iff $f(s) \in B$.

- For all $\langle M, w \rangle$, M accepts w iff $N_{M,w}$ halts on ϵ .

If M accepts w , then $N_{M,w}$ halts on ϵ .

If M doesn't accept w , then $N_{M,w}$ doesn't halt on ϵ .

(2), (3) follow the same logic - if M doesn't accept anything then $L(M) = \emptyset$, if accepts everything - $L(M) = \Sigma^*$.

Basically take a machine that does something, give it your custom input, and see if it can arrive at some answer with it ignoring all other inputs other than yours - if it does, then you can accept.

12. **Rice Theorem:** all non-trivial (not \top/\perp) semantic properties of programs are undecidable.

13. **Theorem:** Recognisable (r.e.) languages are closed under: $\cap, \cup, *$ but not complement.

Proof: Problem P is decidable if both P and \bar{P} are r.e. We know that H_{TM} is undecidable and r.e., therefore $\overline{\text{H}_{TM}}$ is not r.e. So not closed under complement.

14. **Enumerator** is a variant of a multi-tape TM with:

- work tape (read-write), output tape (read-only),
- distinguishable "enum" state.

Initially, both tapes empty (always runs on empty input).

When "enum" is reached, flush the output; continue.

Enumerates the strings it outputs, hence its language, or the set of strings it produces is **exactly r.e.**

15. If $A \leq_m B$ and B decidable, then A decidable.

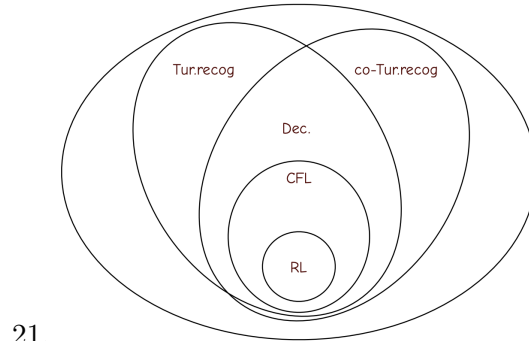
16. If $A \leq_m B$ and A undecidable, then B undecidable.

17. Problem P is decidable if both P and \bar{P} are r.e.

18. If $A \leq_m B$ and B is r.e., then A is r.e.

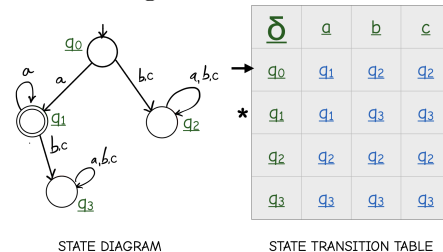
	Reg.	CFL	Decidable	r.e.
Complement	Y	N	Y	N
Union \cup	Y	Y	Y	Y
Intersection \cap	Y	N	Y	Y
Kleene $*$	Y	Y	Y	Y
Concatenation	Y	Y	Y	Y

Regular	CFL	R.E languages
NFA/DFA/GNFA	PDA	TM
Regular Grammars	CFG	Type 0 grammars
Pushdown, Myhill-Nerode	CFL Pushdown	Reductions



21.

22. State Diagram and State transition table.



STATE DIAGRAM

STATE TRANSITION TABLE